

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

CONFIRMATION NO. ATTORNEY DOCKET NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR Gregory G. Brucker 10/083,707 02/26/2002 S63.2-10308 1518 10/26/2004 **EXAMINER** 490 7590 VIDAS, ARRETT & STEINKRAUS, P.A. HO, UYEN T 6109 BLUE CIRCLE DRIVE PAPER NUMBER **ART UNIT SUITE 2000** MINNETONKA, MN 55343-9185 3731

DATE MAILED: 10/26/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)
	10/083,707	BRUCKER ET AL.
	Examiner	Art Unit
	(Jackie) Tan-Uyen T. Ho	3731
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply		
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be ting within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).
Statuș		·
1) Responsive to communication(s) filed on 03 Au	ugust 2004.	
2a)⊠ This action is FINAL . 2b)☐ This	action is non-final.	
3) Since this application is in condition for allowance except for formal matters, prosecution as to the ments is		
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.		
Disposition of Claims		•
4)⊠ Claim(s) <u>17-19,21 and 35-40</u> is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.		
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>17-19,21 and 35-40</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/or election requirement.		
Application Papers		
9) The specification is objected to by the Examine	r.	
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.		
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).		
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).		
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.
Priority under 35 U.S.C. § 119		·
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents	s have been received.	
2. Certified copies of the priority documents3. Copies of the certified copies of the priority		
application from the International Bureau	• • • • • • • • • • • • • • • • • • • •	
* See the attached detailed Office action for a list	of the certified copies not receive	d.
•		
Attachment(s)	🗖	(770 440)
Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail D	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date		Patent Application (PTO-152)

Claim Objections

1. Claims 17-19, 21, and 35-40 are objected to because of the following

informalities: The preamble should be "An apparatus comprising" instead of "a system

for deploying a bifurcated stent assembly." Since all claims direct to combination of the

system for deploying a stent assembly and the stent assembly. The phrase

"characterized as" should be removed from the claims. Appropriate correction is

required.

Response to Amendment

2. The amendment filed 8/3/04 is objected to under 35 U.S.C. 132 because it

introduces new matter into the disclosure. 35 U.S.C. 132 states that no amendment

shall introduce new matter into the disclosure of the invention. The added material

which is not supported by the original disclosure is as follows: a system for deploying a

bifurcated stent assembly with only a single catheter having only a single balloon. The

original specification discloses the system for deploying a bifurcated stent assembly

including first and second catheter or a single catheter with dual balloon (not a single

balloon).

Applicant is required to cancel the new matter in the reply to this Office Action.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the

Page 3

Application/Control Number: 10/083,707

Art Unit: 3731

art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

- 4. Claims 17-19, 21, 35-40 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The original specification fails to disclose a single catheter having a single balloon for delivering a bifurcated stent assembly.
- The following is a quotation of the second paragraph of 35 U.S.C. 112:

 The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 6. Claim 17-19, 21, 35-40 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. It is not clear whether a first and second stent bodies are included in the system or excluded from the system since claim 17 cites "the system comprising only a single catheter, the single catheter having only a single balloon;"
- 7. Regarding to the new matter and indefinite as indicated above, the claims are rejected both way 35 USC 102 and 103 (see below).

Claim Rejections - 35 USC § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

Application/Control Number: 10/083,707

Art Unit: 3731

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

9. Claims 17-19, 21 and 35-40 are rejected under 35 U.S.C. 102(e) as being anticipated by Vardi et al. (6,325,826).

Vardi et al. disclose two single catheters, each with a single balloon (24, 25) and the stent having structure and being made from material as claimed wherein the stent includes a first stent body (40, 100) including a side opening and a plurality of members (38 or 106) moveably engaged to the stent wall (Figs. 7-10 & 13a-13f, col. 8 to col. 10, also see the detail description for the materials of the stent as claimed).

In regard to claims 37-38, the claims are product-by-process claims wherein the apparatus is not limited by the steps of a process (See MPEP 2113).

The introductory statement of intended use and all other functional statements have been carefully considered but are deemed not to impose any structural limitations on the claims distinguishable over the Vardi et al.'s system which is capable of being used as claimed if one desires to do so.

10. Claims 17-19, 21, 35-38 are rejected under 35 U.S.C. 102(e) as being anticipated by Zedler et al. (6,494,905). Zedler et al. disclose a single balloon catheter having two balloon portions, a stent body having first and second portions and a plurality of members (13, 14, fig. 2 and col. 5 to col. 6) moveably engaged to the stent wall.

Application/Control Number: 10/083,707 Page 5

Art Unit: 3731

Claim Rejections - 35 USC § 103

11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set

forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

invention was made to a person having ordinary skill in the art to which said subject matter pertains.

Patentability shall not be negatived by the manner in which the invention was made.

12. Claims 17-19, 21, 35-40 are rejected under 35 U.S.C. 103(a) as being

unpatentable over Vardi et al. (6,325,826) in view of Wilson et al. (6,165,195). Vardi et

al. disclose all the limitations as claimed except the system for deploying the stent

assembly including a first and second catheter instead of only one catheter for

deploying the whole stent assembly. Wilson et al. disclose a single catheter with a

single balloon for delivering a bifurcated stent assembly. Therefore, it would have been

obvious matter of design choice to use only one balloon catheter or two balloon

catheters to delivery the bifurcated stent assembly of Vardi et al., since applicant has

not disclosed that having a single balloon catheter for delivering the bifurcated stent

assembly solves any stated problem or is for any particular purpose and it appears that

using two balloon catheters or only one balloon catheter for delivering the bifurcated

stent assembly would make the same result.

Response to Arguments

13. Applicant's arguments filed 8/03/04 have been fully considered but they do not place the application in condition for allowance (see rejection above). Applicant argues

Application/Control Number: 10/083,707

Art Unit: 3731

that in contrast to Vardi reference, the claims direct to a single catheter with a single balloon. Examiner disagrees. First, the instant application fails to disclose a system including only a single balloon having only a single balloon (see the 35 USC 112 rejection above). Secondly, the system does not comprise only a single catheter having only a single balloon, the system also comprises a stent body portion disposed on a stent-retaining region as claimed in the claims. Applicant argues that Vardi does not have a single balloon, stent body, and plurality of members such that the stent body is "expanded by the expansion of the single balloon," the plurality of members thereby "extended radially outward from the first stent body to form a scaffold." Examiner disagrees respect to the claimed invention. Vardi discloses a single balloon (402) for expanding a stent body (100) having a plurality of members (106) wherein in the expanded state the plurality of member (102) being extended radially outward from the first stent body to form a scaffold (see figs. 13g-h).

Conclusion

14. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not

Page 7

Application/Control Number: 10/083,707

Art Unit: 3731

mailed until after the end of the THREE-MONTH shortened statutory period, then the

shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the date of this final action.

15. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to (Jackie) Tan-Uyen T. Ho whose telephone number is

(703) 306-3421. The examiner can normally be reached on MULTIFLEX Mon. to Sat..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, ANHTUAN NGUYEN can be reached on 703-308-2154. The fax phone

number for the organization where this application or proceeding is assigned is 703-

872-9306.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

(Jackie) Tan-Uyen T. Ho

Patent Examiner

Art Unit 3731

October 19, 2004

ANHTUANT. NGUYEN PRIMARY EXAMINER